

STATE OF ILLINOIS
SECRETARY OF STATE
SECURITIES DEPARTMENT

IN THE MATTER OF: Kimberly Sheri Smith

FILE NO. 1100149

ORDER OF DISMISSAL

TO RESPONDENT:

Kimberly Sheri Smith (CRD #4490413)
4211 Edgewater Avenue
Hillside, IL 60162

C/O Sanford C. Bernstein & Co., LLC
Attn: Director of Compliance
1345 Avenue of the Americas
New York, NY 10105

TO THE HEARING
OFFICER:

Soula J. Spyropoulos
4125 West Lunt Street
Lincolnwood, Illinois 60712

WHEREAS, at the time of the Notice of Hearing, the Illinois Department of Revenue's ("Department of Revenue") records indicated an outstanding tax assessment of a tax administered by the Department of Revenue;

WHEREAS, the Illinois Department of Revenue has released such tax assessment; and

WHEREAS, the Secretary of State, by and through his duly designated representative, has determined that the Notice of Hearing entered on March 18, 2011 may be dismissed against the Respondent.

IT IS HEREBY ORDERED: The Notice of Hearing entered on March 18, 2011 against the Respondent Kimberly Sheri Smith in this matter is dismissed without prejudice.

Dated: This 8th day of April 2011.


JESSE WHITE
Secretary of State

Maria A. Pavone
Enforcement Attorney
Illinois Securities Department
Office of Secretary of State
69 West Washington St - Suite 1220
Chicago, IL 60602
Telephone: 312.793.3022